Preliminary Examination I - Doctoral Program in Urban & Regional Planning (Revised: April 28, 2022)

I. Planning Theory and Practice

The focus of Paper I concerns Planning Theory and its Relationship to Planning Practice. Material covered in this paper focuses on planning history, theory, justification, and critique. It also covers planning practice including, ethics, institutions, styles & modes, disputes & resolution approaches, and political contexts. The basic material dealt with in Paper I is sufficiently covered in the Urban and Regional Planning M.S. core course URPL 781–Planning Thought and Practice. Taking this course is strongly recommended, but not required.

In addition, students are expected to undertake course work on specific theories and practical applications in support of their dissertation research. A file of past questions is available for student review in the departmental office. However, student answers to previous examinations are not public information.

The references below specify material to be covered in Paper I by category.

General Planning Theory:

- Brenner, N. (2004). *New State Spaces: Urban Governance and the Rescaling of Statehood.* New York: Oxford University Press Inc.
- Dalton, L. C. (1986). Why the Rational Paradigm Persists The Resistance of Professional Education and Practice to Alternative Forms of Planning. *Journal of Planning Education and Research*, *5*(3), 147-153.
- Fishman, R. (1982). *Urban Utopias in the Twentieth Century: Ebenezer Howard, Frank Lloyd Wright, and Le Corbusier*. Cambridge: The MIT Press.
- Foglesong, R. E. (1986). *Planning the Capitalist City: The Colonial Era to the 1920s.* Princeton, New Jersey: Princeton University Press.
- Hoch, C. J. (1984). Pragmatism, Planning, and Power. *Journal of Planning Education and Research*, 4(2), 86-95.
- Jacobs, H. M., & Paulsen, K. (2009). The Neglected Theme of 20th-Century American Planning. *Journal of the American Planning Association*, 75(2), 134-143.
- Jacobs, J. (1992). The Death and Life of Great American Cities. New York: Vintage Books.
- Jessop, B. (2016). The State: Past, Present, Future. Malden: Polity Press.
- Klosterman, R. E. (1978). Foundations for Normative Planning. *Journal of the American Institute of Planners*, 44(1), 37-46.
- Lefebvre, H. (2003). The Urban Revolution. Minneapolis: University of Minnesota Press.

- Marcuse, P. (2011). The Three Historic Currents of City Planning. In S. W. Gary Bridge, *The New Blackwell Companion to the City.* Malden, MA: John Wiley & Sons.
- Ostrom, E. (1990). *Governing the Commons: The Evolution of Institutions for Collective Action*. Cambridge: Cambridge University Press.
- Saunders, P (2005). Social Theory and the Urban Question. London: Routledge.
- Scott, J. C. (2008). Chapter 3. Authoritarian High Modernism. In J. C. Scott, *Seeing Like a State: How Certian Schemes to Improve the Human Condition Have Failed* (pp. 87-102). New Haven: Yale University Press.
- Wilson, W. H. (1996). The Glory, Destruction, and Meaning of the City Beautiful Movement. In S. F. Scott Campbell, *Readings in Planning Theory* (pp. 68-102). Oxford: Blackwell Publishing.

Justifications and Critiques of Planning:

- Amin, A. (2016). Urban Planning in an Uncertain World. In S. S. Fainstein, & J. DeFilippis, *Readings in Planning Theory*. Malden, MA: John Wiley & Sons, Ltd.
- Beauregard, R. (1989). Between Modernity and Postmodernity: The Ambiguous Position of US Planning. *Environment and Planning D: Society and Space, 7*(4), 381-395.
- Fainstein, S. S. (2016). Spatial Justice and Planning. In S. S. Fainstein, & J. DeFilippis, *Readings in Planning Theory*. Malden, MA: John Wiley & Sons, Litd.
- Gleeson, B. (2014). Disaster, Vulnerability and Resilience of Cities. In S. M. Mike Hodson, *After Sustainable Cities?* (pp. 10-23). London: Routledge.
- Harvey, D. (1985). On Planning the Ideology of Planning. In D. Harvey, *The Urbanization of Capital: studies in the history and theory of capitalist urbanization* (pp. 165-184). Oxford: Blackwell.
- Healey, P. (2016). The Planning Project. In S. S. Fainstein, & J. DeFilippis, *Readings in Planning Theory*. Malden, MA: John Wiley & Sons, Ltd.
- Klosterman, R. E. (2016). Arguments For and Against Planning. In S. S. Fainstein, & J. DeFilippis, *Readings in Planning Theory*. Malden, MA: John Wiley & Sons, Ltd.
- Marcuse, P. (1978). *The Myth of the Benevolent State: Towards a Theory of Housing*. New York: Columbia University.

Styles and Modes of Planning, Role of the Planner:

Beauregard, R. (2016). The Neglected Places of Practice. In S. S. Fainstein, & J. DeFilippis, *Readings in Planning Theory* (pp. 277-292). Malden: John Wiley & Sons, Ltd.

- Christensen, K. S. (1985). Coping with Uncertainty in Planning. *Journal of the American Planning Association*, 51(1), 63-73.
- Fischer, F. (2016). Participatory Governance: From Theory to Practice. In S. S. Fainstein, & J. DeFilippis, *Readings in Planning Theory* (pp. 348-362). Malden, MA: John Wiley & Sons, Ltd.
- Forester, J. (1989). Planning in the Face of Power. Berkeley: University of California Press.
- Forester, J. (1999). *The Deliberative Practitioner: Encouraging Participatory Planning Process.*Cambridge, MA: The MIT Press.
- Forester, J. (2016). Cultivating Surprise and the Art of the Possible: The Drama of Mediating Differences. In S. S. Fainstein, & J. DeFilippis, *Readings in Planning Theory* (pp. 363-382). Malden: John Wiley & Sons, Ltd.
- Healey, P. (1992). Planning Through Debate: The Communicative Turn in Planning Theory. *The Town Planning Review*, *63*(2), 143-162.
- Innes, J. E. (1996). Planning Through Consensus Building: A New View of the Comprehensive Planning Ideal. *Journal of the American Planning Association*, 62(4), 460-472.
- Kaufman, J. L., & Jacobs, H. M. (1987). A Public Planning Perspective on Strategic Planning. *Journal of the American Planning Association*, 53(1), 23-33.
- Lindblom, C. E. (1959). The Science of "Muddling Through". *Public Administration Review*, 19(2), 79-88.
- Moore, T. (1978). Why Allow Planners to Do What They Do? A Justification from Economic Theory. *Journal of the American Institute of Planners*, 44(4), 387-398.

Planning History as Urban Transformation and Spatial Restructuring:

- Boyer, M. C. (1983). *Dreaming the Rational City: The Myth of American City Planning*. Cambridge, MA: The MIT Press.
- Castells, M. (1993). European Cities, the Informational Society, and the Global Economy. *Tijdschrift voor Economische en Sociale Geografie, 84*(4).
- Fainstein, S. S. (2002). The Changing World Economy and Urban Restructuring. In S. S. Fainstein, & S. Campbell, *Readings in Urban Theory*. Blackwell Publishing.
- Fishman, R. (1946). *Bourgeois Utopias: The Rise and Fall of Suburbia*. New York, NY: Basic Books, Inc.
- Friedmann, J. (1986). The World City Hypothesis. Development and Change, 17, 69-83.
- Goldsmith, W. W. (1997). The Metropolis and Globalization: The Dialectics of Racial Discrimination, Deregulation, and Urban Form. *American Behavioral Scientist*, 41(3), 299-310.
- Hall, P. (1980). Great Planning Disasters. Berkeley, CA: University of California Press.

- Hall, P. (2002). *Cities of Tomorrow: An Intellectual History of Urban Planning and Design Since 1880*. Malden, MA: Blackwell Publishing.
- Krueckeberg, D. A. (Ed.). (1983). *Introduction to Planning History in the United States*. New Brunswick, NJ: Center for Urban Policy Research.
- Logan, J. R., & Molotch, H. L. (2007). The City as a Growth Machine. In J. R. Logan, & H. L. Molotch, *Urban Fortunes: The Political Economy of Place* (pp. 50-98). Berkeley, CA: University of California Press.
- Painter, J. (2011). Regulation Theory, Post-Fordism and Urban Politics. In S. S. Fainstein, & S. Campbell, *Readings in Urban Theory* (pp. 23-41). Malden: Blackwell Publishing.
- Roy, A. (2012). Urban Informality: The Production of Space and Practice of Planning. In R. Crane, & R. Weber, *The Oxford Handbook of Urban Planning* (pp. 691-705). Oxford: Oxford University Press.
- Sassen, S. (2002). Locating Cities on Global Circuits. *Environment & Urbanization*, 14(1), 13-30.
- Sassen, S. (2012). Cities in a World Economy. Los Angeles: SAGE.
- Scott, A. J. (2001). Globalization and the Rise of City-regions. *European Planning Studies*, 9(7), 813-826.
- Smith, N. (2005). Gentrification, the Frontier, and the Restructuring of Urban Space. In N. R. Fyfe, & J. T. Kenny, *The Urban Geography Reader* (pp. 128-137). London: Routledge.
- Soja, E. W. (1989). Postmodern Geographies: The Reassertion of Space in Critical Social Theory. New York, NY: Verso.

Planning Ethics:

- Forester, J. (2013). Learning from Practice Stories: The Priority of Practical Judgment. In F. Fischer, & J. Forester, *The Argumentative Turn in Policy Analysis and Planning* (pp. 186-210). New York: Duke University Press.
- Lucy, W. H. (1988). APA's Ethical Principles Include Simplistic Planning Theories. *Journal of the American Planning Association*, 54(2), 147-149.

Identity, Difference, Conflict, and Empowerment in Planning:

- Arnstein, S. R. (1969). A Ladder Of Citizen Participation. *Journal of the American Institute of Planners*, 35(4), 216-224.
- Clavel, P. (1986). *The Progressive City: Planning and Participation, 1969-1984.* New Brunswick: Rutgers University Press.

- Davidoff, P. (1965). Advocacy and Pluralism in Planning. *Journal of the American Institute of Planners*, 31(4), 331-338.
- Fainstein, S. S. (2005). Cities and Diversity: Should We Want It? Can We Plan For It? *Urban Affairs Review*, 41(1), 3-19.
- Fainstein, S. S. (2010). The Just City. Ithaca: Cornell University Press.
- Fainstein, S. S., & Servon, L. J. (2005). *Gender and Planning: A Reader.* New Brunswick: Rutgers University Press.
- Harvey, D. (1992). Social Justice, Postmodernism and the City. *International Journal of Urban and Regional Research*, *16*(4), 588-601.
- Hoch, C. (1993). Racism and Planning. *Journal of the American Planning Association*, 59(4), 451-460.
- Krumholz, N. (1982). A Retrospective View of Equity Planning Cleveland 1969–1979. *Journal of the American Planning Association*, 42(2), 163-174.
- Milroy, B. M. (1996). Some Thoughts About Difference and Pluralism. In S. Campbell, & S. Fainstein, *Readings in Planning Theory*. Cambridge: Blackwell Publishers.
- Miraftab, F. (2009). Insurgent Planning: Situating Radical Planning in the Global South. *Planning Theory*, *8*(1), 32-50.
- Ritzdorf, M. (1996). Feminist Thoughts on the Theory and Practices of Planning (Chapter 20). In: Campbell, S and S Fainstein (eds) Readings in *Planning Theory* Cambridge, MA: Blackwell Publishing.
- Sandercock, L. (2016). Towards a Cosmopolitan Urbanism: From Theory to Practice. In S. S. Fainstein, & J. DeFilippis, *Readings in Planning Theory* (pp. 407-426). Malden: John Wiley & Sons, Ltd.
- Sandercock, L., & Forsyth, A. (1992). Feminist Theory and Planning Theory: The Epistemological Linkages. *Planning Theory*, 45-49.
- Thomas, J. M. (2008). The Minority-Race Planner in the Quest for a Just City. *Planning Theory*, 7(3), 227-247.
- Wachs, M. (2016). The Past, Present, and Future of Professional Ethics in Planning. In S. S. Fainstein, & J. DeFilippis, *Readings in Planning Theory* (pp. 464-479). Malden: John Wiley & Sons, Ltd.
- Young, I. M. (2000). *Inclusion and Democracy*. Oxford: Oxford University Press.